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**INFORMATION DISCLOSURE  
STATEMENT**

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**Complete if Known**

|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/670,955        |
| Filing Date            | December 21, 2001 |
| First Named Inventor   | DANIEL T. COLBERT |
| Art Unit               | 1754              |
| Examiner Name          | Lish, Peter J.    |
| Attorney Docket Number | 294G 1839-12      |

Sheet

of

**NON PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of<br>the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue<br>number(s), publisher, city and/or country where published.  | T <sup>2</sup> |
|-----------------------|--------------------------|--|----------------|
| JF                    | A                        | "Morphological Modeling of Atomic Force Microscopy Imaging Including Nanostructure Probes<br>and Fibrinogen Molecules" written by D.L. Wilson et al, pages 2407-2416, published by<br>American Vacuum Society in July 1996 in the U.S., accompanied by a copy of Web<br>page of American Vacuum Society showing that the Article was published in July, 1996 |                |
|                       | B                        | "Unraveling Nanotubes: Field Emission From an Atomic Wire" written by A.G. Rinzier et al,<br>pages 1550-1553 in SCIENCE magazine and published on September 15, 1995 in the U.S.   |                |
| V                     | C                        | "Morphological Restoration of Atomic Force Microscopy Images" written by David L.<br>Wilson, et al, pages 265-272, published by American Chemical Society in 1995 in the U.S.  |                |
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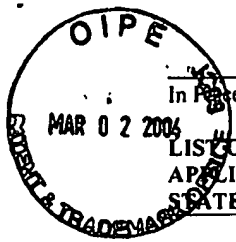
3-15-06

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANTS' INFORMATION DISCLOSURE  
STATEMENT**

Serial No.: 10/670,955  
Applicants: Daniel T. Colbert et al.  
Filing Date: September 25, 2003  
Group: Not Yet Assigned  
Atty. Docket No.: 11321-P011CD2D1

Reference Designation

**U.S. PATENT DOCUMENTS**

| Examiner Initial | Document Number | Date       | Name                  | Class | Subclass | Filing Date if Appropriate |
|------------------|-----------------|------------|-----------------------|-------|----------|----------------------------|
| <u>JE</u> AAA    | 5,824,470       | 10/20/1998 | Baldeschweiler et al. | 435   | 6        |                            |
| ABA              | 5,424,054       | 06/13/1995 | Bethune et al.        | 423   | 447.2    |                            |
| ACA              | 5,381,101       | 01/10/1995 | Bloom et al.          | 324   | 676      |                            |
| ADA              | 5,171,992       | 12/15/1992 | Clabes et al.         | 250   | 306      |                            |
| AEA              | 6,231,980       | 05/15/2001 | Cohen et al.          | 428   | 402      |                            |
| AFA              | 6,538,262       | 03/25/2003 | Crespi et al.         | 257   | 40       |                            |
| AGA              | 6,401,526       | 06/11/2002 | Dai et al.            | 73    | 105      |                            |
| AHA              | 5,641,466       | 06/24/1997 | Ebbesen et al.        | 423   | 447.2    |                            |
| AIA              | 5,126,574       | 06/30/1992 | Gallagher             | 250   | 492.2    |                            |
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| <u>✓</u> ASA     | 5,503,010       | 04/02/1996 | Yamanaka              | 73    | 105      |                            |

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| Examiner Initial | Document Number | Date       | Country  | Class | Subclass | Translation Yes No |
|------------------|-----------------|------------|----------|-------|----------|--------------------|
| <u>JE</u> ATA    | EP 1 176 234 A2 | 12/05/1993 | European |       |          |                    |
| <u>JE</u> AUA    | WO 96/18059     | 06/13/1996 | PCT      |       |          |                    |

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

| Examiner<br>Initial |     |  |
|---------------------|-----|--|
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|                     | AWA | AJAYAN et al., "Nanometre-size tubes of carbon," <i>Rep. Prog. Phys.</i> , Volume 60, pp. 1025-1062 (1997).  |
|                     | AXA | AJAYAN et al., "Opening carbon nanotubes with oxygen and implications for filling," <i>Nature</i> , Volume 362, pp. 522-525 (April 8, 1993).   |
|                     | AYA | CHEN, "Growth and Properties of Carbon Nanotubes," <i>Thesis for the degree Master of Science, Rice University, Houston, Texas</i> (May 1995).   |
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|                     | BAB | CHOPRA et al., "Boron Nitride Nanotubes," <i>Science</i> , Volume 269, pp. 966-967 (August 18, 1995).  |
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|                     | BCB | DRAVID et al., "Buckytubes and Derivatives: Their Growth and Implications for Buckyball Formation," <i>Science</i> , Volume 259, pp. 1601-1604 (March 12, 1993).   |
|                     | BDB | DRESSELHAUS et al., "Science of Fullerenes and Carbon Nanotubes," <i>Academic Press</i> , pp. 742-747 (1996).  |
|                     | BEB | FISHBINE, "Carbon Nanotube Alignment and Manipulation Using Electrostatic Fields," <i>Fullerene Science &amp; Technology</i> , Volume 4, Number 1, pp. 87-100 (1996).  |
|                     | BFB | GAMALY et al., "Mechanism of carbon nanotube formation in the arc discharge," <i>Physical Review B</i> , Volume 52, Number 3, pp. 2083-2089 (July 15, 1995).   |
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|                     | BJB | KIANG et al., "Structural Modification of Single-Layer Carbon Nanotubes with an Electron Beam," <i>Journal of Physics Chem.</i> , Volume 100, Number 9, pp. 3749-3752 (1996).                                    |
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|                     | BSB | TOHJI et al., "Purification Procedure for Single-Walled Nanotubes," <i>J. Phys. Chem. B.</i> , Volume 101, No. 11, pp. 1974-1978 (1997).   |
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| ✓                   | BUB | WANG et al., "Properties of Buckytubes and Derivatives," <i>Carbon</i> , Volume 33, No. 7, pp. 949-958 (1995).   |

JF

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*Philosophical Magazine Letters*, Volume 78, Number 2, pp. 139-144 (1998).

Examiner: 

3-15-06  
Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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